

1003 U.S.
08/23/01
PCT

533 Rec'd PCT/PTO 23 AUG 2001

09/914212

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PHASE OF
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Commissioner of Patents
Washington, D.C. 20231


00909

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 0282782 /SMC60341/UST
M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group: Date: August 23, 2001

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- | | | |
|--|---|---|
| 1. International Application | 2. International Filing Date | 3. Earliest Priority Date Claimed |
| PCT/GB00/00703
<small>↑ country code</small> | 28 February 2000
<small>Day MONTH Year</small> | 1 March 1999
<small>Day MONTH Year
(use item 2 if no earlier priority)</small> |
| 4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within: | | |
| (a) <input type="checkbox"/> 20 months from above item 3 date (b) <input checked="" type="checkbox"/> 30 months from above item 3 date, | | |
| (c) Therefore, the due date (<u>unextendable</u>) is September 1, 2001 | | |

5. Title of Invention PIGMENT PRINTING COMPOSITION

6. Inventor(s) ELLIS, Gordon Lee et al

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. Please immediately start national examination procedures (35 U.S.C. 371 (f)).
8. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file in English but, if in foreign language file only if not transmitted to PTO by the International Bureau) including:
 - a. Request;
 - b. Abstract;
 - c. 32 pgs. Spec. and Claims;
 - d. _____ sheet(s) Drawing which are informal formal of size A4 11"
9. A copy of the International Application has been transmitted by the International Bureau.
10. A translation of the International Application into English (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith including: (1) Request; (2) Abstract;
(3) _____ pgs. Spec. and Claims;
(4) _____ sheet(s) Drawing which are:
 informal formal of size A4 11"
 - b. is not required, as the application was filed in English.
 - c. is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
 - d. Translation verification attached (not required now).

09/914212

JC05 Rec'd PCT/PTO 23 AUG 2001

11. Please see the attached Preliminary Amendment
12. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:
13. PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).

15. **A declaration of the inventor** (35 U.S.C. 371(c)(4))

- a. is submitted herewith Original Facsimile/Copy
- b. is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

16. **An International Search Report (ISR):**

- a. Was prepared by European Patent Office Japanese Patent Office Other
- b. has been transmitted by the international Bureau to PTO.
- c. copy herewith (1 pg(s).) plus Annex of family members (1 pg(s)).

17. **International Preliminary Examination Report (IPER):**

- a. has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.
- b. copy herewith in English.
- c.1 IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:
- c.2 Specification/claim pages # _____ claims # _____
Dwg Sheets # _____
- d. Translation of Annex(es) to IPER (required by 30th month due date, or else annexed amendments will be considered canceled).

18. **Information Disclosure Statement** including:

- a. Attached Form PTO-1449 listing documents
- b. Attached copies of documents listed on Form PTO-1449
- c. A concise explanation of relevance of ISR references is given in the ISR.

19. **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.20. Copy of Power to IA agent.21. **Drawings** (complete only if 8d or 10a(4) not completed): _____ sheet(s) per set: 1 set informal; Formal of size A4 11"22. Small Entity Status is Not claimed is claimed (pre-filing confirmation required)
22(a) _____ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) **GREAT BRITAIN** of:

	Application No.	Filing Date	Application No.	Filing Date
(1)	9904599.9	March 1, 1999	(2) 9925515.0	October 29, 1999
(3)			(4)	
(5)		(6)		

- a. See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.
- b. Copy of Form PCT/IB/304 attached.

09 / 914212 Page 3 of 4

JC05 Rec'd PCT/PTO

23 AUG 2007

24. Attached: Preliminary Amendment

25 Per Item 17.c2, cancel original pages # , claims # , Drawing Sheets #

26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:
Based on amended claim(s) per above item(s) 12. 14. 17. 25 (hilite)

Total Effective Claims 64 minus 20 = 44 x \$18/\$9 = \$792 996.967
 Independent Claims 1 minus 3 = 0 x \$80/\$40 = \$0 964.965
 If any proper (ignore improper) Multiple Dependent claim is present.
 add \$270/\$135 +270 964.969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): ➡➡ BASIC FEE REQUIRED, NOW ➡➡➡

- A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

- See Item 10(e).
1. Search Report was not prepared by EPO or JPO ----- add\$1000/\$500 960/961
2. Search Report was prepared by EPO or JPO ----- add\$860/\$430 +860 970/971

SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

- B. If USPTO did not issue both International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), ----- add\$1000/\$500 +0 960961

(only)
(one) → C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), ----- add\$710/\$355 +0 958959

(if)
(these)
(4) → D. If USPTO issued IPER but IPER Sec. V boxes not all 3 YES, ----- add\$690/\$345 +0 959957

→ E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) satisfied (IPER Sec. V all 3 boxes YES for all claims), ----- add \$100/\$50 +0 962963

27

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ---\$40 +40 (581)

29. If box 15a is x'd, determine whether inventorship on Declaration is different than in international stage. If yes, add (per Rule 497(d)) ---\$130 + (998)

30. Attached is a check to cover the ----- **TOTAL FEES** \$1962

Our Deposit Account No. 03-3975

Our Order No.

070662 | 0282782

A standard linear barcode is located at the bottom right of the page.

00909

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

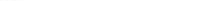
This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

Atty/Sec: PNK/mhn

By Atty: Paul N. Kokulis

Reg. No. 16773

Sig:  Fax: (703) 905-2500
Tel: (703) 905-2118

NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

09/914212

SEARCHED INDEXED 23 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Inventor(s): ELLIS, Gordon Lee et al

Filed: Herewith

Title: PIGMENT PRINTING COMPOSITION

August 23, 2001

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

Please amend this application as follows:

IN THE SPECIFICATION:

At the top of the first page, just under the title, insert

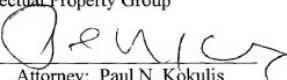
--This application is the National Phase of International Application
PCT/GB00/00703 filed February 28, 2000 which designated the U.S.

and that International Application

was was not published under PCT Article 21(2) in English.--

Respectfully submitted,

PILLSBURY WINTHROP LLP
Intellectual Property Group

By: 

Attorney: Paul N. Kokulis
Reg. No: 16773
Tel. No.: (703) 905-2118
Fax No.: (703) 905-2500

Atty\Sec. PNK/mhn
1600 Tysons Boulevard

McLean, VA 22102
(703) 905-2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

ELLIS ET AL

Serial No.

Group Art Unit:

Filed: August 23, 2001

Examiner:

For: PIGMENT PRINTING
COMPOSITIONS

August 23, 2001

PRELIMINARY AMENDMENT

Hon. Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Please amend the above-identified new application as follows:

IN THE CLAIMS

Cancel claims 1 - 20, adding in lieu thereof:

21. A composition comprising the components:

- (a) a hydrophilic polymer having a number average molecular weight less than 30,000;
- (b) a hydrophobic polymer having a number average molecular weight more than 40,000;
- (c) pigment; and
- (d) liquid medium.

09/914212-082307

22. A composition according to claim 21 wherein component (a) has a number average molecular weight less than 20,000.

23. A composition according to claim 21 wherein component (b) has a number average molecular weight greater than 60,000.

24. A composition according to claim 21 wherein component (a) and component (b) are each independently selected from the group consisting of acrylic polymers, polyurethanes and polyesters.

25. A composition according to any one of claims 21 to 24 wherein the hydrophobic polymer comprises a hydrophobic acrylic polymer and a hydrophobic polyurethane polymer.

26. An composition according to any one of claims 21 to 24 wherein the hydrophilic polymer is hydrophilic by virtue of the presence of ionic and/or non-ionic water dispersing groups in the hydrophilic polymer.

27. A composition according to any one of claims 21 to 24 wherein the composition has a total concentration of divalent and trivalent metal ions below 5000 parts per million by weight relative to the total weight of the composition.

28. A composition according to any one of claims 21 to 24 having a viscosity less than 100cp at 20°C.

29. A composition according to any one of claims 21 to 24 wherein
- (i) the composition has a viscosity less than 100 cp at 20°C;
 - (ii) the composition has been filtered through a filter having a mean pore size below 10 μ m; and
 - (iii) the composition has a total concentration of divalent and trivalent metal ions below 5000 parts per million by weight relative to the total weight of the composition.

30. A composition according to any one of claims 21 to 24 which comprises:

- (i) from 0.1 to 10 parts of component (a);
- (ii) from 0.1 to 10 parts, more preferably 1 to 10 parts of component (b);
- (iii) from 0.1 to 15 parts of component (c); and
- (iv) from 75 to 98 parts of component (d)

wherein all the parts are by weight and the parts by weight of (i) + (ii) + (iii) + (iv) add up to 100.

31 A composition according to any one of claims 21 to 24 wherein the pigment is selected from yellow, red, orange, green, violet, indigo, blue and/or black organic and/or inorganic pigment.

32. A composition according to any one of claims 21 to 24 wherein the pigment is a carbon black pigment.

33. A composition according to claim 32 wherein the carbon black pigment carries ionic groups.

34. A composition according to any one of claims 21 to 24 with the proviso that when components (a) and (b) are both acrylic polymers, components (a) and (b) have been prepared separately.

35. A composition according to any one of claims 21 to 24 with the proviso that when components (a) and (b) are both acrylic polymers and component (b) is prepared in the presence of component (a) then the Tg of component (b) is greater than 40°C.

36. A composition according to claim 35 where the Tg of component (b) is greater than 45°C.

37. An ink comprising a composition according to any one of claims 21 to 24.

38. A process for printing an image on a substrate comprising applying thereto composition according to any one of claims 21 to 24 by means of an ink-jet printer.

39. An ink-jet printer cartridge containing a composition according to any one of claims 21 to 24.

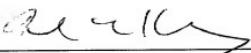
0994112-082301

REMARKS

The claims have been canceled and rewritten to improve their dependency.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By 
Paul N. Kokulis
Reg. No. 16773

PNK:mh
1600 Tysons Boulevard
McLean, Virginia 22102
Phone: (703) 905-2118

09/914212
1 JOSÉ REBELO PINTO 23 AUG 2007PIGMENT PRINTING COMPOSITIONS

The invention relates to compositions containing pigments and to their use in inks for ink-jet printing.

Ink-jet printing involves printing an image onto a substrate using ink droplets ejected through a fine nozzle onto a substrate without bringing the fine nozzle into contact with the substrate.

There are many demanding performance requirements for colorants and inks used in ink-jet printing. For example they desirably provide sharp, non-feathered images having good water-fastness, light-fastness and optical density. The inks are often required to dry quickly when applied to a substrate to prevent smudging, but they should not form a crust which would block the tip of the fine nozzle. The inks should also be stable to storage over time without decomposing or forming a precipitate which could also block the fine nozzle.

Thermal and piezoelectric ink-jet printers are widely used, thus there is a need for inks, suitable for use in both types of printers, having high colour strength and giving images having a high light-fastness and water-fastness when printed on a typical substrate, especially plain paper.

It is known to include aqueous resins emulsions in ink formulations to improve water-fastness of resultant prints. For example EP 0882 771 discloses an aqueous ink-jet recording liquid containing a pigment and a solubilised resin emulsion, and EP 796 901 discloses an aqueous pigment ink jet-recording liquid containing an aqueous dispersion resin consisting of a core/shell acrylic polymer.

It has now been found that combinations of a pigment with a low molecular weight hydrophilic polymer and a high molecular weight hydrophobic polymer in a liquid medium results in a composition which is suitable as an ink for use in thermal and piezo ink-jet printers, shows stability over a range of conditions and the resultant prints show improved water-fastness, light-fastness, optical density and smear resistance over the use of a single resin component.

Thus, according to the present invention there is provided a composition comprising the components:

- (a) a hydrophilic polymer having a number average molecular weight less than 30,000;
- (b) a hydrophobic polymer having a number average molecular weight more than 40,000;
- (c) pigment; and
- (d) liquid medium.

The number average molecular weight (M_n) for components (a) and (b) may be measured by any of the well known techniques, preferably by gel permeation chromatography ("gpc"). The gpc method used for determining M_n preferably comprises applying the polymer to a chromatography column packed with cross-linked

polystyrene/divinyl benzene, eluting the column with tetrahydrofuran at a temperature of 40°C and assessing the Mn of the polymer compared to a number of a polystyrene standards of a known Mn. Suitable cross-linked polystyrene/divinyl benzene chromatography columns are commercially available from Polymer Laboratories.

5 As an alternative to the gpc method for determining Mn one may use other methods, for example multi-angle light scattering.

Preferably the Mn of component (a) is less than 20,000, more preferably less than 12,500 and especially less than 10,000. Preferably the Mn of component (a) is more than 500. Preferably the Mn of component (b) is more than 60,000 more preferably more than 10,000. Preferably the Mn of component (b) is less than 10 million, more preferably less than 2 million.

The hydrophilic and hydrophobic polymers may each independently be homopolymers or copolymers. Preferably the hydrophilic and hydrophobic polymers are copolymers.

15 Component (a) is preferably hydrophilic by virtue of the presence of ionic and/or non-ionic water dispersing groups in the hydrophilic polymer. The hydrophilic polymer is preferably prepared by polymerising one or more monomers having water-dispersing groups, optionally with one or more monomers which are free from water-dispersing groups. The nature and level of water-dispersing groups in the polymer influences whether a solution, dispersion, emulsion or suspension is formed on dissipation of the hydrophilic polymer in aqueous media. Preferably the level of monomers having water-dispersing groups is from 5 to 80% by weight of the hydrophilic polymer. Preferably the level of monomers having water-dispersing groups is at least 5% by weight greater in the hydrophilic polymer than the level of monomers having water-dispersing groups in the hydrophobic polymer. For example, if the level of monomers having water-dispersing groups is 5% by weight of the hydrophobic polymer then the level of monomers having water-dispersing groups in the hydrophilic polymer is preferably at least 10% by weight of the hydrophilic polymer. More preferably the level of monomers having water-dispersing groups is at least 10% by weight greater in the hydrophilic polymer than the level of monomers having water-dispersing groups in the hydrophobic polymer. Preferably a solution is formed on dissipation of the hydrophilic polymer in water, although a minor amount of the hydrophilic polymer may be insoluble in water and exist as dissipated particles when mixed with aqueous media or water.

35 Water-dispersing groups are preferably pendant to the main polymer backbone. Preferred ionic water-dispersing groups are anionic water-dispersing groups, especially carboxylic, phosphonic and or sulphonlic acid groups. Preferred non-ionic water-dispersing groups are polyoxyalkylene groups, more preferably polyoxyethylene groups.

A small segment of the polyoxyethylene group can be replaced by a oxypropylene segment and/or oxybutylene segment, however the polyoxyethylene group should still contain oxyethylene as a major component. When the water-dispersing groups in the

hydrophilic polymer are predominantly polyoxyethylene groups, the polyoxyethylene group content preferably does not exceed 25% by weight, more preferably does not exceed 15% by weight, based on the total weight of the hydrophilic polymer.

5 The anionic water-dispersing groups are preferably fully or partially in the form of a salt. Conversion to the salt form is optionally effected by neutralisation of the polymer with a base, preferably during the preparation of the hydrophilic polymer and/or during the preparation of the composition of the present invention. If anionic water-dispersing groups are used in combination with a non-ionic water-dispersing group, neutralisation may not be required.

10 Preferably the base used to neutralise any anionic water-dispersing groups is ammonia, an amine or an inorganic base. Suitable amines include tertiary amines, for example triethylamine or triethanolamine. Suitable inorganic bases include alkaline hydroxides and carbonates, for example lithium hydroxide, sodium hydroxide, or potassium hydroxide. A quaternary ammonium hydroxide, for example $N^*(CH_3)_4OH$, can also be used. Generally a base is used which gives the required counter ion desired for the composition. For example, preferred counter ions include Li^+ , Na^+ , K^+ , NH_4^+ and substituted ammonium salts.

15 Component (a) is preferably a hydrophilic acrylic, polyurethane or polyester polymer, more preferably a hydrophilic acrylic or polyurethane polymer, especially a hydrophilic polyurethane polymer.

20 When component (a) is a hydrophilic acrylic polymer it preferably has a glass transition temperature (T_g) greater than -10°C and more preferably from 20°C to 120°C. T_g is the temperature at which the polymer changes from a glassy, brittle state to a plastic, rubbery state, and may be measured by differential scanning calorimetry.

25 When component (a) is a hydrophilic acrylic polymer it preferably has an acid value from 30 to 200mgKOH/g, more preferably from 30 to 150mgKOH/g and especially from 40 to 100mgKOH/g.

30 The hydrophilic acrylic polymer has preferably been obtained from the polymerisation of one or more olefinically unsaturated monomers having ionic and/or non-ionic water-dispersing groups, optionally in the presence of one or more olefinically unsaturated monomers which are free from ionic and non-ionic water-dispersing groups.

35 Preferred olefinically unsaturated monomers having ionic water-dispersing groups include but are not limited to acrylic acid, methacrylic acid, itaconic acid, β -carboxy ethyl acrylate, maleic acid, monoalkyl itaconates (for example, monomethyl maleate, monoethyl maleate, monobutyl maleate and monooctyl maleate), citraconic acid, styrenesulfonic acid, vinylbenzylsulfonic acid, vinylsulfonic acid, acryloyloxyalkyl sulfonic acids (for example, acryloyloxymethyl sulfonic acid, acryloyloxyethyl sulfonic acid, acryloyloxypropyl sulfonic acid and acryloyloxybutyl sulfonic acid), methacryloyloxymethyl sulfonic acid, methacryloyloxyethyl sulfonic acid, methacryloyloxypropyl sulfonic acid and

methacryloyloxybutyl sulfonic acid), 2-acrylamido-2-alkylalkane sulfonic acids (for example, 2-acrylamido-2-methylethanesulfonic acid, 2-acrylamido-2-methylpropanesulfonic acid and 2-acrylamido-2-methylbutane sulfonic acid), 2-methacrylamido-2-alkylalkane sulfonic acids (for example, 2-methacrylamido-2-methylethanesulfonic acid, 2-methacrylamido-2-methylpropanesulfonic acid and 2-methacrylamido-2-methylbutanesulfonic acid), mono-(acryloyloxyalkyl)phosphates (for example, mono(acryloyloxyethyl)phosphate and mono(3-acryloyloxypropyl)phosphates) and mono(methacryloyloxyalkyl)phosphates (for example, mono(methacryloyloxyethyl)phosphate and mono(3-methacryloyloxypropyl)phosphate).

Especially preferred olefinically unsaturated monomers having ionic water-dispersing groups include acrylic acid, methacrylic acid, itaconic acid, β -carboxy ethyl acrylate, and / or maleic acid.

Preferred olefinically unsaturated monomers having non-ionic water-dispersing groups include alkoxy polyethylene glycol (meth)acrylates, preferably having a Mn of from 350 to 2000. Examples of such monomers which are commercially available include ω -methoxypolyethylene glycol acylate.

Preferred olefinically unsaturated monomers which are free from ionic or non-ionic water-dispersing groups include alkyl(meth)acrylates, optionally substituted styrenes, methacrylamides, pyrrolidones, and allyl compounds.

Preferred alkyl(meth)acrylates contain less than twenty carbon atoms. Examples include methyl acrylate, ethyl acrylate, n-propyl acrylate, isopropyl acrylate, n-butyl acrylate, isobutyl acrylate, sec-butyl acrylate, amyl acrylate, hexyl acrylate, 2-ethylhexyl acrylate, octyl acrylate, tert-octyl acrylate, 2-phenoxyethyl acrylate, 2-chloroethyl acrylate, 2-bromoethyl acrylate, 4-chlorobutyl acrylate, cyanoethyl acrylate, 2-acetoxyethyl acrylate, dimethylaminoethyl acrylate, benzyl acrylate, methoxybenzyl acrylate, 2-chlorocyclohexyl acrylate, acylate, acetoacetate, cyclohexyl acylate, furfuryl acrylate, tetrahydrofurfuryl acrylate, phenyl acrylate, 5-hydroxypentyl acrylate, 2,2-dimethyl-3-hydroxypropyl acrylate, 2-methoxyethyl acrylate, 3-methoxybutyl acrylate, 2-ethoxyethyl acrylate, 2-iso-propoxyethyl acrylate, 2-butoxyethyl acrylate, 2-(2-methoxyethoxy)ethyl acrylate, 2-(2-butoxyethoxy)ethyl acrylate, 1-bromo-2-methoxyethyl acrylate, 1,1-dichloro-2-ethoxyethyl acrylate, methyl methacrylate, ethyl methacrylate, hydroxyethyl methacrylate, n-propyl methacrylate, isopropyl methacrylate, n-butyl methacrylate, isobutyl methacrylate, sec-butyl methacrylate, amyl methacrylate, hexyl methacrylate, cyclohexyl methacrylate, benzylmethacrylate, chlorobenzyl methacrylate, octyl methacrylate, N-ethyl-N-phenylaminoethyl methacrylate, 2-(3-phenylpropoxy)ethyl methacrylate, dimethylaminophenoxyethyl methacrylate and furfuryl methacrylate. Aromatic examples include but are not limited to 4-alkyl phenylacrylate or methacrylate, phenyl methacrylate, phenyl acrylate, and β -naphthyl methacrylate,

Preferred optionally substituted styrenes include styrene, methylstyrene, dimethylstyrene, trimethylstyrene, ethylstyrene, diethylstyrene, isopropylstyrene,

butylstyrene, hexylstyrene, cyclohexylstyrene, decylstyrene, chloromethylstyrene, trifluoromethylstyrene, ethoxymethylstyrene, acetoxyethylstyrene, methoxystyrene, 4-methoxy-3-methylstyrene, dimethoxystyrene, chlorostyrene, dichlorostyrene, trichlorostyrene, tetrachlorostyrene, pentachlorostyrene, bromostyrene, dibromostyrene, iodostyrene, trifluorostyrene and 2-bromo-4-tri-fluoromethylstyrene.

Preferred methacrylamides contain less than 12 carbon atoms. Examples include methylmethacrylamide, tert-butylmethacrylamide, tert-octylmethacrylamide, benzylmethacrylamide, cyclohexylmethacrylamide, phenylmethacrylamide, dimethylmethacrylamide, dipropylmethacrylamide, hydroxyethyl-N-methylmethacrylamide, N-methylphenylmethacrylamide, N-ethyl-N-phenylmethacrylamide and methacrylyhydrazine.

Preferred allyl compounds include allyl acetate, allyl caprylate, allyl laurate, allyl palmitate, allyl stearate, allyl benzoate, allyl acetoacetate, allyl lactate, allyloxyethanol, allyl butyl ether and allyl phenyl ether.

The hydrophilic acrylic polymer may be prepared in a conventional manner by polymerising the olefinically unsaturated monomers having ionic and/or non-ionic water-dispersing groups, optionally in the presence of olefinically unsaturated monomers which are free from ionic and non-ionic water-dispersing groups. Temperatures from 20°C to 180°C are preferred. The polymerisation may be continued until reaction between the monomers is complete or the desired Mn has been reached.

Preferred polymerisation methods include solution polymerisation, emulsion polymerisation, suspension polymerisation and solution/dispersion polymerisation and such general methods as are well known in the art.

If desired, an initiator may be used to assist acrylic polymer formation. Suitable initiators include free-radical generators. Examples of initiators include azobis compounds, peroxides, hydroperoxides, redox catalysts, potassium persulfate, ammonium persulfate, tert-butyl peroctoate, benzoyl peroxide, isopropyl percarbonate, 2,4-dichlorobenzoyl peroxide, methyl ethyl ketone peroxide, cumene hydroperoxide, dicumyl peroxide, azobisisobutyronitrile, azobis(2-amidino-propane)hydrochloride and the like. Typically 0.05 to 5% by weight of initiator is used relative to the total weight of the monomers. Preferably the polymerisation is performed in the presence of an emulsifying agent.

Optionally the hydrophilic acrylic polymer is prepared by a process in which the Mn is controlled by the addition of chain transfer agents and/or through the adjustment of the ratio of the concentration of monomers relative to the concentration of initiator during the course of the polymerisation. Typical chain transfer agents are thiols, halocarbons and cobalt macrocycles.

When component (a) is a hydrophilic polyurethane the Mn is preferably less than 12,500, more preferably less than 10,000, especially less than 7,500 and more especially

less than 5,000. When component (a) is a hydrophilic polyurethane the Mn is preferably more than 500. Preferred hydrophilic polyurethanes are linear.

The hydrophilic polyurethane is preferably obtained from the reaction of at least one organic polyisocyanate and at least one isocyanate-reactive compound having ionic and/or non-ionic water-dispersing groups, optionally in the presence of isocyanate-reactive compounds which are free from ionic and non-ionic water-dispersing groups.

The organic polyisocyanate may be any known in the art, preferably having two isocyanate groups, and may for example be an aliphatic, cycloaliphatic, aromatic or araliphatic isocyanate. Examples of suitable organic polyisocyanates include ethylene diisocyanate, 1,6-hexamethylene diisocyanate, isophorone diisocyanate, tetramethylxylene diisocyanate, 1,4-phenylene diisocyanate, 2,4-toluene diisocyanate, 2,6-toluene diisocyanate, 4,4'-diphenyl-methane diisocyanate and its hydrogenated derivative, 2,4'-diphenylmethane diisocyanate and its hydrogenated derivative, and 1,5-naphthylene diisocyanate. Mixtures of the polyisocyanates can be used, particularly isomeric mixtures of the toluene diisocyanates or isomeric mixtures of the diphenylmethane diisocyanates (or their hydrogenated derivatives), and also organic polyisocyanates which have been modified by the introduction of urethane, allophanate, urea, biuret, carbodiimide, uretonimine or isocyanurate residues.

Preferred organic polyisocyanates include cycloaliphatic polyisocyanates, especially isophorone diisocyanate, and aliphatic isocyanates, especially 1,6-hexamethylene diisocyanate or hydrogenated 4,4-diphenyl methyl diisocyanate.

The isocyanate-reactive compound preferably has at least one, more preferably two, isocyanate-reactive groups. Optionally isocyanate-reactive compounds having three isocyanate-reactive groups may be present, preferably in low levels not exceeding 5% by weight relative to the total weight of the isocyanate-reactive compound. Preferred isocyanate-reactive groups are selected from -OH, -NH₂, -NH- and -SH. These isocyanate-reactive groups are capable of reacting with an isocyanate (-NCO) group.

Preferred isocyanate-reactive compounds having water-dispersing groups include low molecular weight polyols or polyamines bearing ionic and/or non-ionic water-dispersing groups. Preferred isocyanate-reactive compounds having anionic water-dispersing groups are diols having one or more sulphonic acid groups and/or carboxylic acid groups, more preferably dihydroxy alkanoic acids, especially 2,2-dimethylol propionic acid. Preferred isocyanate-reactive compounds having non-ionic water-dispersing groups are diols providing polyoxyethylene groups.

Preferred isocyanate-reactive compounds which are free from ionic and non-ionic water-dispersing groups include organic polyol(s) and / or polyamine(s). Preferred organic polyols or polyamines have a Mn up to 3000, more preferably up to 2000, especially from 400 to 2000. Preferred organic polyols are diols. The diols include members of any of the chemical classes of diols used or proposed to be used in polyurethane formulations. In particular, the diols are preferably polyesters, polyesteramides, polyethers (other than

ones providing polyoxyethylene groups), polythioethers, polycarbonates, polyacetals, polyolefins or polysiloxanes. Further examples of optional diols which are free from ionic and non-ionic water-dispersing groups include organic diols having an Mn below 400. Examples of such diols include ethylene glycol, diethylene glycol, tetraethylene glycol, bis(hydroxyethyl) terephthalate, cyclohexane dimethanol, and furan dimethanol.

The hydrophilic polyurethane may be prepared in a conventional manner by reacting one or more organic polyisocyanates with one or more isocyanate-reactive compounds. Substantially anhydrous conditions are preferred. Temperatures of from 30°C to 130°C are preferred and the reaction is preferably continued until the reaction between the organic polyisocyanates and the isocyanate-reactive compounds is substantially complete.

The relative amounts of the organic polyisocyanate and the isocyanate-reactive compounds are preferably selected such that the mole ratio of isocyanate groups to isocyanate-reactive groups is about 2:1 to 1:2, preferably from about 1.4:1 to 1:1.4. Alternatively an isocyanate-group terminated polyurethane prepolymer may be prepared in a two stage process either in solvent or as a melt, wherein the ratio of isocyanate groups to isocyanate-reactive groups is from about 1.1:1 to 2:1, preferably from about 1.1:1 to 1.9:1.

Terminating compounds, for example compounds having one isocyanate group or one isocyanate-reactive group, may be used to cap-off any excess isocyanate or isocyanate-reactive end groups in the polyurethane resulting from the reaction of the organic polyisocyanate and isocyanate-reactive compound. Compounds having one isocyanate-reactive group include, for example, monoalcohols, monoamines and monothiols, especially isopropanol. Compounds having one isocyanate reactive group include alkyl monoisocyanates. The Mn of the polyurethane may be controlled through the use of such terminating compounds. The terminating compounds may also bear ionic and/or non-ionic water-dispersing groups, for example the groups hereinbefore described.

If desired a catalyst may be used to assist formation of the hydrophilic polyurethane. Suitable catalysts include di-butyl tin dilaurate, stannous octoate and tertiary amines as known in the art.

Preferably the hydrophilic polyurethane is not prepared by chain-extension of an isocyanate-terminated polyurethane prepolymer (for example by mixing the hydrophilic polyurethane with water or water mixed with chain-extenders).

When component (a) is a hydrophilic polyester polymer, the Mn is preferably in the range from more than 500 to less than 30,000, more preferably in the range from 1000 to 25,000.

The Tg of the hydrophilic polyester is preferably in the range of from -38°C to 105°C.

The hydrophilic polyester is preferably obtained by polymerising at least one monomer having two or more functional groups which will readily undergo an ester

condensation reaction and having ionic and/or non-ionic water-dispersing groups, optionally in the presence of monomers having two or more functional groups which will readily undergo an ester condensation reaction which are free from ionic and non-ionic water-dispersing groups. Preferred anionic water-dispersing groups are as mentioned above, especially sulphonic acid groups, ionised sulphonate groups and carboxylic acid groups and ionised carboxyl groups.

Functional groups which will readily undergo an ester condensation reaction include carboxyl groups, hydroxyl groups and esterifiable derivatives thereof. Examples of such monomers are aromatic dicarboxylic acids having an ionised sulphonate group. Particularly preferred is sodio-5-sulphoisophthalic acid (SSIPA).

Other useful monomers which have two or more groups which readily undergo an ester condensation reaction and have one or more sulphonate groups are dihydroxy aryl monomers having at least one sulphonate group.

The amount of water-dispersing groups, for example ionised sulphonate and/or carboxy and/or non-ionic water-dispersing groups, present in the hydrophilic polyester is preferably sufficient to provide water-dissipatability of the polyester. Ionised sulphonate groups are more effective at providing or contributing to water-dissipatability than ionised carboxy groups and so can be used at considerably lower levels in comparison to ionised carboxy groups.

When the hydrophilic polyester mainly contains SSIPA as the monomer for providing the ionised sulphonate groups, the amount of this monomer used in the polyester synthesis, based on the weight of all the monomers used in the polyester synthesis, will usually be within the range from 5 to 20% by weight. The acid value of the hydrophilic polyester which contains mainly ionised sulphonate groups will generally be within the range of from 0 to 100 mgKOH/g, more preferably 0 to 50 mgKOH/g, especially 0 to 25 mgKOH/g, more especially 0 to 10mgKOH/g.

When the hydrophilic polyester predominantly contains ionised carboxy water-dispersing groups, the acid value of the polyester is preferably within the range of from 20 to 140 mgKOH/g more preferably 30 to 100 mgKOH/g.

Monomers having two or more functional groups which will readily undergo an ester condensation reaction and having non-ionic water-dispersing groups include mono-, di- or higher functional hydroxy compounds containing polyoxyethylene groups, for example polyethylene glycols and alkyl ethers of polyethylene glycols.

Monomers having two or more functional groups which will readily undergo an ester condensation reaction and are free from ionic and non-ionic water-dispersing groups include polybasic carboxylic acids and polyols in which the carboxylic acid groups and hydroxy groups are esterified during the polymerisation process. For example, aliphatic, alicyclic and aromatic compounds having two or more carboxy groups and their ester forming derivatives (e.g. esters, anhydrides and acid chlorides) may be used. Specific examples include adipic acid, fumaric acid, maleic acid, succinic acid, itaconic acid,

sebacic acid, nonanedioic acid, decanedioic acid, 1,4-cyclohexanedicarboxylic acid, 1,3-cyclohexanedicarboxylic acid, 1,2-cyclohexanedicarboxylic acid, terephthalic acid, isophthalic acid, phthalic acid and tetrahydrophthalic acid and their acid chlorides. Anhydrides include succinic, maleic, phthalic and hexahydrophthalic anhydrides.

Preferred polyols which can be used to form the polyester include those having from 2 to 6, more preferably 2 to 4 and especially 2 hydroxyl groups per molecule. Suitable polyols having two hydroxy groups per molecule include diols such as 1,2-ethanediol, 1,3-propanediol, 1,4-butanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol (neopentyl glycol), the 1,2-, 1,3- and 1,4-cyclohexanediols and the corresponding cyclohexane dimethanols, diethylene glycol, dipropylene glycol, and diols such as alkoxylated bisphenol-A products, e.g. ethoxylated or propoxylated bisphenol A. Suitable polyols having three hydroxy groups per molecule include triols such as trimethylolpropane (1,1,1-tris (hydroxymethyl)ethane). Suitable polyols having four or more hydroxy groups per molecule include pentaerythritol (2,2-bis(hydroxymethyl)-1,3-propanediol) and sorbitol (1,2,3,4,5,6-hexahydroxyhexane).

Preferably component (b) readily disperses in component (d). On dispersion the particle size of component (b) is preferably such that when present in the composition it has a particle size from 20 to 500nm, more preferably from 20 to 350nm, especially from 20 to 200nm. Particle size can be measured by, for example, laser light scattering electron microscopy.

Component (b) is preferably an hydrophobic acrylic or polyurethane polymer of Mn more than 40,000. The hydrophobic polymer is preferably prepared by the methods described above for the hydrophilic polymers, except that the ionic and non-ionic water-dispersing groups are omitted or are present in low amounts. Component (b) is preferably hydrophobic by virtue of being free from or having low levels of ionic and/or non-ionic water-dispersing groups. The hydrophobic polymer is preferably prepared by polymerising one or more monomers which are free from or having low levels of ionic and/or non-ionic water-dispersing groups. The level of monomers having water-dispersing groups is preferably from 0 to 25% by weight of the hydrophobic polymer. Although the hydrophobic polymer has only a low solubility in pure water, it is preferably chosen such that it does not precipitate out when in the composition of the invention.

When component (b) is a hydrophobic acrylic polymer the preferred acid value is from 0 to 30 mgKOH/g.

The Tg of the hydrophobic acrylic polymer is preferably in the range of from -10°C to 120°C, more preferably in the range of from 20°C to 110°C most preferably in the range of from 40°C to 110°C.

Preferably the hydrophobic acrylic polymer is a copolymer comprising styrene.

When component (b) is a hydrophobic polyurethane polymer, the hydrophobic polyurethane polymer can optionally be prepared by chain extending an isocyanate terminated polyurethane prepolymer, using techniques well known in the art. Suitable

chain extenders include polyols, amine alcohols, diamines, hydrazines, substituted hydrazines and water. When the chain extender is other than water, for example a diamine, it may be added to an aqueous dispersion of the polyurethane prepolymer or may already be present in the aqueous medium when the polyurethane prepolymer is dispersed therein. The hydrophobic polyurethane polymer may be linear, branched or crosslinked.

Component (a) and component (b) are optionally based on the same or different polymers. For example component (a) may be a hydrophilic polyurethane and component (b) a hydrophobic polyurethane or component (a) may be a hydrophilic polyurethane and component (b) may be a hydrophobic acrylic polymer, in each case having the Mn as specified above.

Components (a) and (b) optionally each comprise a mixture of polymers. For example component (a) may comprise a mixture of hydrophilic acrylic polymers or a mixture of a hydrophilic acrylic polymer and a hydrophilic polyurethane polymer.

In a preferred embodiment the polymers used as component (a) and component (b) in the composition of the invention are each independently selected from acrylic polymers and polyurethane polymers.

Components (a) and (b) are purified if desired in the usual way for colorants used in ink-jet printing inks, for example by ion-exchange, filtration, reverse osmosis, dialysis, ultra-filtration or a combination thereof. In this way one may remove co-solvents used for the polymerisation, low molecular weight salts, impurities and free monomers.

Components (a) and (b) can be combined in a number of ways. For example, components (a) and (b) can be prepared separately, followed by combination by blending. The blending may be performed at any stage, for example before components (a) and (b) are added to the liquid medium, or components (a) and (b) may be incorporated individually into the liquid medium and then blended. Alternatively, when component (a) is an acrylic polymer, components (a) and (b) can be combined by preparing component (b) by *in-situ* aqueous emulsion in the presence of component (a). When component (b) is an acrylic polymer, components (a) and (b) can be combined by preparing component (a) by an *in-situ* aqueous emulsion polymerisation in the presence of component (b). Preferably when components (a) and (b) are both acrylic polymers, components (a) and (b) are prepared separately, followed by combination by blending. When components (a) and (b) are both acrylic polymers and component (b) is prepared in the presence of component (a) then the Tg of component (b) is preferably greater than 40°C, more preferably greater than 45°C, most preferably greater than 50°C.

For example when component (b) is a hydrophobic acrylic polymer, the hydrophobic acrylic polymer may be prepared by aqueous polymerisation using component (a) (hydrophilic acrylic, polyurethane and/or polyester polymer) as a polymeric dispersant. Optionally additional surfactant may be used to aid dispersion. Preferably the aqueous polymerisation is carried out at a pH above 7.

102280-57-9/560

Alternatively when component (b) is a hydrophobic acrylic polymer the hydrophobic acrylic polymer may be prepared by emulsion polymerisation at a low pH followed by *in-situ* polymerisation of a hydrophilic acrylic polymer component (a) at a low pH, with subsequent neutralisation with a base to pH 7.

5 The weight ratio of component (a) to component (b) is preferably from 5:95 to 99:1, more preferably from 5:95 to 95:5, especially from 5:95 to 50:50, more especially from 5:95 to 40:60, most especially from 10:90 to 40:60.

10 In a preferred embodiment the weight ratio of component (a) to component (b) is from 99:1 to 50:50, preferably from 99:1 to 60:40, more preferably 99:5 to 60:40. This embodiment is preferred because compositions containing these ratios of components (a) to (b) perform particularly well in thermal ink-jet printers. Inks prepared from these compositions are stable, and prints prepared using the inks give a good performance in terms of wet rubfastness, light-fastness and highlighter smear resistance.

15 The pigment (component (c)) is preferably a water-insoluble colorant, preferably inorganic or organic. Preferred inorganic pigments include carbon black, titanium dioxide, zinc oxide, zirconium oxide, chromium oxide, iron oxide and combinations thereof.

20 Preferred organic pigments include phthalocyanine, anthraquinone, perinone, indigoid, perylene, azo, carbon black pigments carrying ionic groups, azomethine, condensed ring pigments and pigments as mentioned in the Colour Index International, Third Edition (1982) Pigments and Solvent dyes, pages 10 to 143, which are incorporated herein by reference thereto. Preferred organic pigments are yellows, reds, oranges, violets, blue and /, or black. In particular it has been found that when using a coloured pigment the light-fastness of prints prepared using the inks is greatly improved. This improvement is particularly noticeable with coloured inorganic and/or organic pigments other than black pigments, for example yellow, red, orange, green, violet, indigo and blue pigments which tend to have lower light-fastness than black pigments.

25 The carbon black pigment optionally carries water-dispersing groups, especially ionic groups, for example anionic and/or cationic groups. The anionic groups preferably comprise a carboxylic, sulphonic or phosphonic acid group. The cationic groups preferably comprise a quaternary ammonium group. Carbon black pigments carrying 30 ionic groups are commercially available from a number of sources, including Mitsubishi and Cabot corporation for example the Cabojet (Cabojet is a Trade Mark of Cabot) range of carbon black pigments. Particularly preferred is carbon black pigment having a mean particle size of from 5 to 100 nm, more preferably of from 10 to 30 nm. The carbon black pigment preferably has a pH of from 3 to 9.

35 The pigment used in the composition of the present invention is optionally a mixture comprising of two or more pigments. Pigments are present in any effective amount in the composition, typically from about 1 to about 10 percent by weight of the composition.

The liquid medium (component (d)) preferably comprises water and an organic solvent. The organic solvent preferably comprises a water-miscible organic solvent and/or a water-immiscible organic solvent.

Suitable water-miscible organic solvents include methanol, ethanol, n-propanol, 5 isopropanol, n-butanol, sec-butanol, tert-butanol, isobutanol, dimethylformamide, dimethylacetamide, acetone, diacetone alcohol, tetrahydrofuran, dioxane, ethylene glycol, propylene glycol, butylene glycol, pentylene glycol, hexylene glycol, diethylene glycol, thiodiglycol, polyethylene glycol, polypropylene glycol, glycerol, 1,2,6-hexanetriol, 10 2-methoxyethanol, 2-(2-methoxyethoxy)ethanol, 2-(2-ethoxyethoxy) ethanol, 2-(2-butoxyethoxy)ethanol, 3-butoxypropan-1-ol, 2-[2-(2-methoxyethoxy)-ethoxy]ethanol, 2-[2-(2-ethoxyethoxy)ethoxy]-ethanol, optionally substituted pyrrolidones, sulpholane and mixtures containing two or more of the aforementioned water-miscible organic solvents. Preferred water-miscible organic solvents are tetrahydrofuran, dioxane, ethylene glycol, 15 propylene glycol, butylene glycol, pentylene glycol, hexylene glycol, optionally substituted pyrrolidones, and sulpholane.

Suitable water-immiscible organic solvents include toluene, xylene, naphthalene, 20 tetrahydronaphthalene, methyl naphthalene, chlorobenzene, fluorobenzene, chloronaphthalene, bromonaphthalene, butyl acetate, ethyl acetate, methyl benzoate, ethyl benzoate, benzyl benzoate, butyl benzoate, phenylethyl acetate, butyl lactate, benzyl lactate, diethyleneglycol dipropionate, dimethyl phthalate, diethyl phthalate, dibutyl phthalate, di (2-ethylhexyl) phthalate, hexanol, octanol, benzyl alcohol, phenyl ethanol, phenoxy ethanol, phenoxy propanol, phenoxy butanol, anisole, phenetole, nitrocellulose, cellulose ether, cellulose acetate, low odour petroleum distillates, turpentine, white spirits, naphtha, isopropylbiphenyl, terpene, vegetable oil, mineral oil, essential oil, natural oil, C₈-C₁₂ substituted pyrrolidones and mixtures of any two or more thereof. Benzyl alcohol is especially preferred.

The weight ratio of water-miscible organic solvent to water-immiscible organic solvent in the composition is preferably 19:1 to 1:1, more preferably 8:1 to 1:1, especially 5:1 to 1:1.

The compositions according to the present invention may be prepared by combining components (a), (b), (c) and (d) in any order. Suitable combining techniques are well known in the art, for example agitation, grinding, milling, ultrasonication or stirring of all the components. Preferably the composition is prepared by mixing components (a), (b), and (d) and optionally further components until the composition is homogenous. The mixture may then be added slowly with stirring to component (c) before adjusting the pH 35 by addition of a base. Components (a), (b), (c) and (d) are preferably combined together under conditions which retain stability and avoid flocculation. The preferred pH range of the composition is from 7 to 11, more preferably of from 9 to 10.

Preferably the composition comprises:

(i) from 0.1 to 10 parts, more preferably 1 to 5 parts, especially 2 to 4 parts of component (a);

(ii) from 0.1 to 10 parts, more preferably 1 to 10 parts, especially 2 to 9 parts, more especially 4 to 7 parts, of component (b);

5 (iii) from 0.1 to 15 parts, more preferably 2 to 10 parts, especially 4 to 8 parts of component (c); and

(iv) from 75 to 98 parts, more preferably 75 to 90 parts, especially 80 to 90 parts of component (d);

wherein all the parts are by weight and the parts by weight of (i) + (ii) + (iii) + (iv) add up to 100.

10 In addition to components (a), (b), (c) and (d), the composition optionally contains other components, such as a biocide, for example Proxel GXL (Proxel is a trade mark of Aevcia Limited) or Kathon (Kathon is a trade mark of Rohm and Haas), a fungicide, a rheological agent, e.g. a wax (e.g. beeswax), a clay (e.g. bentonite), an IR absorber, or a fluorescent brightener, for example C.I. Fluorescent Brightener 179 and/or UV absorber, for example hydroxy phenylbenzotriazole. Furthermore the compositions optionally contain a surface active agent, wetting agent and/or an emulsifier, for example those described in McCutcheon's Emulsifiers and Detergents 1996 International Edition or in Surfactants Europa 3rd Edition 1996 each of which is incorporated herein by reference.

20 The viscosity of the composition is preferably less than 100cp, more preferably less than 50cp, especially less than 20cp, more especially less than 15cp and most preferably less than 10cp at 20°C.

25 Preferably the composition has been filtered through a filter having a mean pore size below 10 µm, preferably below 5 µm, more preferably below 2 µm, especially below 0.45 µm. In this way particulate matter is removed which could otherwise block fine nozzles in an ink-jet printer.

The composition preferably has a total concentration of divalent and trivalent metal ions below 5000, more preferably below 1000, especially below 100, more especially below 20 parts per million by weight relative to the total weight of the composition.

30 Pure compositions of this type may be prepared by using high purity ingredients and/or by purifying the composition after it has been prepared.

Suitable purification techniques are well known, for example ultrafiltration, reverse osmosis, ion exchange and combinations thereof.

35 In an embodiment of the present invention there is provided a composition comprising the components:

(a) a hydrophilic polymer having a number average molecular weight less than 30,000;

(b) a hydrophobic polymer having a number average molecular weight more than 40,000;

40 (c) pigment; and

SEARCHED
INDEXED
COPIED
FILED

(d) liquid medium,

wherein

- i) the composition has a viscosity less than 100 cp at 20°C;
- ii) the composition has been filtered through a filter having a mean pore size below 10 µm; and
- iii) the composition has a total concentration of divalent and trivalent metal ions below 5000 parts per million by weight relative to the total weight of the composition.

Preferably the composition is an ink, more preferably an ink suitable for use in an ink-jet printer.

The compositions according the invention have the advantage that they are suitable not only for the use in piezoelectric ink-jet printers but also in thermal and continuous ink-jet printers. Such compositions form discrete droplets on the substrate with little tendency for diffusing. Consequently sharp images with excellent print quality and little if any bleed between colours printed side by side can be obtained. Furthermore the compositions show good storage stability, wet and light fastness and fastness to both acidic and alkaline highlighter pens.

A third aspect of the invention provides a process for printing an image on a substrate comprising applying thereto a composition comprising the components

- (a) a hydrophilic polymer having a number average molecular weight less than 30,000;
- (b) a hydrophobic polymer having a number average molecular weight more than 40,000;
- (c) pigment; and
- (d) liquid medium.

by means of an ink-jet printer.

The ink-jet printer preferably applies the composition to the substrate in the form of droplets which are ejected through a small nozzle onto the substrate. Preferred ink-jet printers are piezoelectric ink-jet printers and thermal ink-jet printers. In thermal ink-jet printers, programmed pulses of heat are applied to the compositions in a reservoir by means of a resistor adjacent to the nozzle, thereby causing the compositions to be ejected in the form of small droplets directed towards the substrate during relative movement between the substrate and the nozzle. In piezoelectric ink-jet printers the oscillation of a small crystal causes ejection of the compositions from the nozzle.

The substrate is preferably a paper, plastic, or textile material, more preferably a paper, an overhead projector slide or a textile material, especially paper.

Preferred papers are plain, coated or treated papers which may have an acid, alkaline or neutral character. Most preferably the substrate is a coated paper.

According to a further feature of the invention there is provided an ink-jet printer cartridge, optionally refillable, containing a composition as hereinbefore defined.

The invention will now be described by example only. All parts and percentages are by weight unless specified otherwise. CI refers to pigments as mentioned in the Colour Index International Third Edition (1982).

Preparation of Polymer Composition 1

5 Polymer Composition 1 comprises component 1(a) and component 1(b) where component 1(b) is prepared by an *in-situ* emulsion polymerisation in the presence of component 1(a) where the ratio of component 1(a) to component 1(b) is 35:65 w/w.

Stage 1 - Preparation of component 1(a):

10 Component 1(a) is a hydrophilic acrylic copolymer of Mn less than 30,000, with a composition of MAA/MMA/BA (10/69.5/20.5), prepared by an emulsion polymerisation using material as listed below in Table 1:

Table 1

	Materials	Weight (g)	Percentage
<u>monomer shot</u>	De-ionised Water	436.5	32.82
	Methyl methacrylate	25.9	1.9
	Butyl acrylate	7.6	0.6
<u>monomer feed</u>	3 Mercaptopropionic acid	1.1	0.08
	Methacrylic acid (MAA)	37.4	2.8
	Methyl methacrylate (MMA)	233.7	17.6
	Butyl acrylate (BA)	68.8	5.2
<u>initiator shot</u>	3-Mercaptopropionic acid	10.0	0.7
	Ammonium persulphate	0.4	0.03
	De-ionised water	12.0	0.9
<u>initiator feed</u>	Sodium lauryl sulphate	0.6	0.04
	Ammonium persulphate	3.3	0.25
	De-ionised water	108.5	8.16
<u>burn-up co-initiator</u>	Sodium lauryl sulphate	5.0	0.4
	Ascorbic acid	2.24	0.17
<u>burn-up initiator</u>	De-ionised water	42.58	3.20
	t-Butyl hydrogen peroxide	3.20	0.24
<u>odour reducer</u>	De-ionised water to take up to 30% solids	41.62	3.13
	Hydrogen peroxide solution 30%	2.49	0.19
<u>neutralisation</u>	De-ionised water to take up to 30% solids	2.50	18.50
	Ammonia solution 20%	36.91	2.78

Water was added to a reactor and heated to 80°C with continuous stirring. The reactor was purged with N₂.

The monomer shot was added to the reactor and stirred for 5 minutes. The initiator shot was added to seed the reaction and stirred for 15 minutes. Monomer and initiator feeds were then added over 90 minutes whilst maintaining the temperature at 80-83°C for a further hour.

Subsequently the emulsion was cooled to 50°C to commence burn-up by adding the burn-up co-initiator dropwise over 30 minutes. The burn-up initiator was added in 3 equal shots at 1, 10 and 20 minutes of the burn-up co-initiator addition.

The mixture was stirred for 30 minutes before adding the hydrogen peroxide solution dropwise over 10 minutes. De-ionised water was added to take the emulsion to 30% solids w/w and the temperature increased to 60°C.

The emulsion was then neutralised with ammonia solution and stirred until the solution had gone clear. The resultant hydrophilic polymer was cooled and filtered through a 50µm mesh.

Analysis

The resultant hydrophilic polymer solution (component 1(a)) had a pH of 7.0, a weight average molecular weight (Mw) of 13289 and an Mn of 5,446.

The Tg average was 66°C.

Stage 2 - Preparation of component 1(b):

Component 1(b) is a hydrophobic acrylic homopolymer of Mn more than 40,000, comprising styrene. Preparation of component 1(b) is carried out by an *in-situ* emulsion polymerisation of the materials as listed in Table 2 below, in the presence of component 1(a) as prepared in stage 1 above.

Table 2

	Materials	Weight (g)	Percentage
<u>initial charge</u>	Product from stage 1.	386.68	34.40
	De-ionised water	379.77	33.79
	Ammonia solution 35%	10.0	0.89
<u>emulsified feed</u>	Styrene (STY)	217.74	19.37
	De-ionised water	108.88	9.69
	Ammonium persulphate	1.09	0.1
	Sodium lauryl sulphate	1.09	0.1
	De-ionised water for rinse	18.75	1.69

The initial charge was added to a reactor with enough ammonia solution to ensure that the pH of the initial charge was greater than 8.5, and heated to 80-85°C with continuous stirring under nitrogen.

10% of the emulsified feed was added to the reactor from a dropping funnel and left to nucleate for about 5 minutes. After nucleation the remainder of the emulsified feed was added over an hour while the temperature of $85 \pm 2^\circ\text{C}$ was maintained. Once all the feed was added the dropping funnel was rinsed with de-ionised water which was subsequently added to the reactor. The reaction was left for a further 30 minutes at 85°C before cooling the resultant composition to room temperature and filtering the composition through a $50\mu\text{m}$ mesh.

The resultant Polymer Composition 1 was made up to 30 % solids w/w with deionised water, had a pH of 8.8, a particle size of 38 nm and the Mn of component 1(b) was 214.000.

Preparation of Polymer Composition 2

Polymer Composition 2 comprises a blend of component 2(a) with component 2(b) where the ratio of component 2(a) to component 2(b) is 42:58.

Stage 1 - Preparation of component 2(a):

Component 2(a) is a hydrophilic acrylic copolymer of Mn less than 30,000, with a composition of MAA/MMA (10/90) prepared by an emulsion polymerisation.

The method described above for the preparation of polymer composition 1, stage 1 was repeated except that the monomer shot and monomer feed were as described in Table 3 below.

Table 3

	Materials	weight (g)
<u>monomer shot</u>	methyl methacrylate	33.7
	3-mercaptopropionic acid	1.1
<u>monomer feed</u>	methacrylic acid	37.4
	methyl methacrylate	302.9
	3-mercaptopropionic acid	10.0

Analysis

30 The resultant hydrophilic polymer solution had a solids content of 30% solids w/w, a pH of 7.0 and a Mn of 5541.

Stage 2 - Preparation of component 2(b):

Component 2(b) is a hydrophobic acrylic copolymer of Mn more than 40,000, with a composition of SY/AA (96/4) prepared by an emulsion polymerisation, with materials as listed in Table 4 below.

Table 4

5

	Materials	Weight (g)	Percentage
<u>monomer shot</u>	De-ionised water	442.2	33.95
	Styrene	48	3.7
	Acrylic acid	2	0.15
<u>monomer feed</u>	Styrene	432	33.2
	Acrylic acid	18	1.4
<u>initiator shot</u>	Ammonium persulphate	0.25	0.02
	Sodium lauryl sulphate	1.0	0.08
	De-ionised water	13.1	1.0
<u>initiator feed</u>	Ammonium persulphate	2.25	0.17
	Sodium lauryl sulphate	9.0	0.7
	De-ionised water	117.8	9.0
<u>burn-up co-initiator</u>	Ascorbic acid	1.5	0.12
	De-ionised water	28.5	2.19
<u>burn-up initiator</u>	t-Butyl hydrogen peroxide	2.14	0.16
	De-ionised water	27.86	2.14
<u>neutralisation</u>	De-ionised water	143.37	11.01
	Ammonia solution 35%	13.5	1.04

Water was added to a reactor and heated to 80°C with continuous stirring. The reactor was purged with N₂.

10

The monomer shot was added to the reactor and stirred for 5 minutes. The initiator shot was added to seed the reaction and stirred for 15 minutes. Monomer and initiator feeds were then added over 90 minutes whilst maintaining the temperature at 80-83°C for a further hour.

15

Subsequently the emulsion was cooled to 50°C to commence burn-up by adding the burn-up co-initiator dropwise over 30 minutes. The burn-up initiator was added in 3 equal shots at 1, 10 and 20 minutes of the burn-up co-initiator addition.

The mixture was stirred for 30 minutes before adding the hydrogen peroxide solution dropwise over 10 minutes. De-ionised water was added to take the emulsion to 30% solids w/w and the temperature increased to 60°C.

TOP SECRET - SECURITY INFORMATION

The emulsion was then neutralised with ammonia solution and stirred until the mixture had gone clear. The resultant hydrophobic polymer emulsion was cooled and filtered through a 50 μm mesh.

Analysis

- 5 The resultant hydrophobic polymer emulsion, component 2(b), had a pH of 9.6, an average particle size of 94 nm, and an Mn of 80,195.

Stage 3 - Preparation of a blend of components 2(a) and 2(b)

10 Component 2(a) from stage 1 (28g) was added to component 2(b) from stage 2 (39g), mixed thoroughly and de-ionised water was added to take the emulsion to 30% solids w/w to give Polymer Composition 2.

Preparation of Polymer Compositions 3, 4 & 5

Polymer Compositions 3, 4 & 5 comprise blends of component 3(a) with component 3(b) where for:

15 Polymer Composition 3 the ratio of component 3(a) to component 3(b) is 90:10, for
 Polymer Composition 4 the ratio of component 3(a) to component 3(b) is 80:20, and for
 Polymer Composition 5 the ratio of component 3(a) to component 3(b) is 70:30.
 Polymer Composition 5 the ratio of component 3(a) to component 3(b) is 25:70.

Stage 1 - Preparation of component 3(a)

20 Component 3(a) is a hydrophilic polyurethane polymer of Mn less than 30,000 with materials as listed below in Table 5.

Table 5

	Materials	Weight (g)
1.	Dimethylol propionic acid (DMPA)	6
2.	1,4-Cyclohexane dimethanol (CHDM)	5.25
3.	Polypropylene glycol 1000 (PPG)	27.5
4.	Isophorone diisocyanate (IPDI)	36.2
5.	Dibutyl tin dilaurate (DBTDL)	0.08
6.	N-methyl-2-pyrrolidinone (NMP)	18.7
7.	Jeffamine M1000	112
8.	Ammonia (35% solution)	1.4
9.	De-ionised water	546

25

Procedure

Materials 1, 2, 3, and 4 were added to a reactor and stirred under N₂. Material 5 was added to the reactor and heated to 50°C with continuous stirring under N₂. Dibutyl tin dilaurate was added to the reactor and heated to 90°C for three hours before removing a sample for isocyanate content determination. This was repeated until the isocyanate

30

content (NCO) had reached 4.66%, after which Jeffamine M1000 is added to the reactor and stirred for one hour at 90°C. The reaction mixture is dispersed into a mixture of ammonia (35%) and de-ionised water and stirred for one hour at room temperature, before filtering the dispersion through a 50µm mesh. Further de-ionised water was added to take the emulsion to 10% solids w/w.

Analysis: The resultant hydrophilic polymer emulsion, component 3(a) had a pH of 8.8, a weight average molecular weight of 7189, an Mn of 4621 and a viscosity at 10% solids w/w of 2.56 cps.

Stage 2 - component 3(b):

Component 3(b) is a commercially available polyurethane dispersion R985 NeoRez, available from NeoResins, Aveca b.v. with a Mn > 50,000, which was combined with de-ionised water to take the dispersion to 10% solids w/w. (NeoRez and NeoResins are trade marks of Aveca B.V).

Stage 3 - Preparation of blends of components 3(a) and 3(b):

Component 3(a) from stage 1 and component 3(b) from stage 2 were mixed thoroughly to give three blends, each having a solids content of 10% solids w/w. The quantities of components 3(a) and 3(b) used for each blend are listed below in Table 6.

Table 6

Polymer Composition	component 3(a) weight (g)	component 3(b) weight (g)	ratio (a):(b)
3	90	10	90:10
4	80	20	80:20
5	70	30	70:30
6	25	75	25:75

Preparation of Inks:

Example 1 and Example 2:

The materials listed below in Table 7 where mixed until homogeneous, and then the pH was adjusted to approximately 9.5 by the addition of 35% ammonia solution. To remove any particulate matter , the resultant emulsion was subsequently filtered through a 0.10 µm mesh.

Comparative Examples 1, 2 and 3:

Comparative Examples 1, 2 and 3 were prepared as described above for Example 1, where Comparative Example 1 contains no components (a) or (b), Comparative Example 2 only contains component 2(a) and Comparative Example 3 only contains component 2(b).

Table 7

Materials (%)	Examples		Comparative Examples		
	1	2	1	2	3
Cabojet 300 ^x	26	26	26	26	26
Diethylene glycol	10	10	10	10	10
Urea	5	5	5	5	5
Butyl Digol	2	2	2	2	2
Surfynol 465	0.1	0.1	0.1	0.1	0.1
Polymer Composition 1 components 1(a) and 1(b)	33.3	-	-	-	-
Polymer Composition 2 components 2(a) and 2(b)	-	33.2	-	-	-
component 2(a) only	-	-	-	33.3	-
component 2(b) only	-	-	-	-	33.3
De-ionised water	23.6	23.6	23.6	23.6	23.6

^x = Cabojet 300 is at 15.3% solids w/w in de-ionised water

Example 3, 4, 5 and 6

- 5 The materials listed below in Table 8 were mixed until homogeneous, and then the pH was adjusted to approximately 9.5 by the addition of 2-amino-2-methyl propanol. To remove any particulate matter, the resultant emulsion was subsequently filtered through a 0.45 µm mesh.
- 10 Comparative Examples 4 and 5:
- Comparative Examples 4 and 5 were prepared as described above for Example 3, where Comparative Example 4 only contains component 3(a) and Comparative Example 5 only contains component 3(b).

Table 8

Materials (%)	Examples				Comparative Examples	
	3	4	5	6	4	5
Cabot Carbon IJX157B	4.5	4.5	4.5	4.5	4.5	4.5
Polymer Composition 3 (3(a):3(b)=90:10)	9.0	-	-	-	-	-
Polymer Composition 4 (3(a):3(b)=80:20)	-	9.0	-	-	-	-
Polymer Composition 5 (3(a):3(b)=70:30)	-	-	9.0	-	-	-
Polymer Composition 6 (3(a):3(b)=25:75)	-	-	-	9.0	-	-
Component 3(a)	-	-	-	-	9.0	-
Component 3(b)	-	-	-	-	-	9.0
Diethylene glycol	10	10	10	10	10	10
Pentanediol	5.0	5.0	5.0	5.0	5.0	5.0
Proxel GXL (biocide - Avecia Ltd)	0.1	0.1	0.1	0.1	0.1	0.1
Surfynol 465 (surfactant - Air Products)	0.2	0.2	0.2	0.2	0.2	0.2
De-ionised water	71.2	71.2	71.2	71.2	71.2	71.2

Evaluation of the inks prepared in Examples 1 to 6 and Comparative Examples 1 to 5

The inks prepared in Examples 1 and 2, and Comparative Examples 1, 2 and 3 were evaluated for stability and were fired from an Epson 600 piezo ink-jet printer onto Conqueror High White Wove 100g/m² plain paper from Arjo Wiggins Ltd.

The inks prepared in Examples 3, 4, 5 and 6 and Comparative Examples 4 and 5 were evaluated for stability and were fired from a Hewlet Packard HP690C thermal ink-jet printer onto Xerox 4024 DP paper. The resultant prints were evaluated for highlighter smear resistance, print quality and wet rubfastness. The evaluation test results are shown below in Table 9.

Table 9

Example	Polymer	Ink stability data				Printed ink performance		OD
		RT	60°C	70°C	TC	Wet rub fastness	Highlighter smear	
1	Polymer Composition 1 Components 1(a) and 1(b) [35:65]	5	5	2	5	very little smear	no smear 100%	good
2	Polymer Composition 2 Components 2(a) and 2(b) [42:58]	5	5	5	5	very little smear	no smear 100%	good
3	Polymer Composition 3 Components 3(a) and 3(b) [50:10]	5	-	-	-	little smear	little smear	good
4	Polymer Composition 4 Components 3(a) and 3(b) [80:20]	5	-	-	-	very little smear	very little smear	good
5	Polymer Composition 5 Components 3(a) and 3(b) [70:30]	5	-	-	-	no smear	no smear	good
6	Polymer Composition 6 Components 3(a) and 3(b) [25:75]	5	-	-	4 (8 weeks)	little smear	very little smear	good 0.97
CE 1	No polymers	5	5	5	5	smeared	smeared	very poor
CE2	Component 2 (a) only	1	1	1	1	did not print	did not print	did not print
CE3	Component 2 (b) only	5	5	5	5	did not print	did not print	did not print
CE4	Component 3 (a) only	5	-	-	-	smeared	smeared	good 0.92
CE5	Component 3 (b) only	5	-	-	-	did not print	did not print	very poor

KEY:-

- = Not measured
- CE = Comparative example
- RT = room temperature
- TC = Temperature cycle
- OD = Optical density

Highlighter smear resistance

This is a test performed 5 minutes after printing.

A yellow highlighter pen was used to draw a horizontal line across a series of printed vertical bars. The amount of ink smear between the vertical bars was assessed visually against controls.

Wet rubfastness

This is a test performed 5 minutes after printing.

A finger was wetted and rubbed on the corner of a printed solid black block. The amount of ink transferred to white paper is assessed visually against controls.

Ink Stability

The ink resulting from stage 1 were stored in an incubator at a range of temperatures for one week or eight weeks, as stated with the results. The temperature cycle was a 24 hour cycle (-10°C to 25°C).

The stability was evaluated by optical microscopy and the stability was ranked as follows:

	Rank	Description
	5	No change, good mobile dispersion
	4	Good, a few 1 micron particles present, still mobile
	3	An increase in the amount of particles greater than 1 micron
	2	Large (greater than 2 micron) flocculates present, ink less mobile
	1	Flocculated, immobile, unstable

Optical Density

The optical density is a measure of the colour strength of a printed image and is expressed as a number without units. The higher the value, the stronger the print is. Optical density is measured using an X-Rite 938 Spectrodensitometer.

Print Quality

The print quality is a subjective microscopic evaluation of the print edge quality and the uniformity of dot spread where a non-uniform dot spread includes wicking and feathering.

Preparation of pigment millbases for use in Examples 7, 8 and 9:

Pigment millbases were prepared by milling the materials as shown below in Table 10.

Table 10

Materials (parts by weight)	Pigment Millbase		
	1	2	3
CI Pigment Yellow 12	20	-	-
CI Pigment Yellow 74	-	20	-
CI Pigment Blue 15-3	-	-	20
De-ionised water	71.5	71.5	69.5
Triazine dispersant S174992 (Avecia Ltd)	3	3	5
Surfynol CT111 Anti-foam (Air Products)	6	6	6
Solsperse 2700 Anti-foam	0.5	0.5	0.5

Preparation of inks:Examples 7, 8 and 9:

The materials listed below in Table 11 were mixed until homogeneous, and then the pH was adjusted to approximately 9.5 by the addition of 2-amino-2-methyl propanol. To remove any particulate matter, the resultant emulsion was subsequently filtered through a 0.45 µm mesh.

Comparative Examples 6, 7 and 8:

Comparative Examples 6, 7 and 8 were prepared as described above for Example 6 except that they did contain any of Polymer Composition 1.

Table 11

Materials (parts by weight)	Examples			Comparative Examples		
	7	8	9	6	7	8
Polymer Composition 1	6	6	6	-	-	-
Pigment Millbase 1*	20	-	-	20	-	-
Pigment Millbase 2*	-	20	-	-	20	-
Pigment Millbase 3*	-	-	20	-	-	20
Diethylene glycol	10	10	10	10	10	10
Pentane diol	-	-	-	5	5	5
Urea	5	5	5	-	-	-
Butyldigol	2	2	2	-	-	-
Surfynol 465	0.1	0.1	0.1	-	-	-
De-ionised water	72.9	72.9	72.9	81	81	81

* = equivalent to 4 parts by weight of pigment

Evaluation of the inks prepared in Examples 7, 8 and 9 and Comparative Examples 6, 7 and 8

The inks prepared in Examples 7, 8 and 9 and Comparative Examples 6, 7 and 8 were fired from an Epson 660 piezo ink-jet printer onto Seiko Epson (SEC) glossy film, glossy paper and coated paper. The resultant prints were evaluated for light-fastness by measurement of the ΔE after 100 hours in an Atlas Weatherometer. The evaluation test results are shown below in Table 12. The lower the value of ΔE , the better the light fastness.

Table 12

	Lightfastness ΔE after 100 hours		
	SEC glossy film	SEC glossy paper	SEC coated paper
Example 7	20.79	-	-
Comparative Example 6	66.85	-	-
Example 8	1.19	1.05	0.69
Comparative Example 7	3.19	1.95	2.95
Example 9	2.99	2.99	-
Comparative Example 8	7.39	7.39	-

- = not measured

From Table 12 it can be seen that Examples 6, 7 and 8 which contain Polymer Composition 1 have significantly better light-fastness than Comparative Examples 6, 7 and 8.

Preparation of Polymer Compositions 7, 8 and 9:

Polymer Compositions 7, 8 and 9 comprise components (a) and (b) where component (b) is prepared by an *in-situ* emulsion polymerisation in the presence of component (a) where the ratio of component (a) to component (b) is 35:35 w/w.

Polymer Composition 7 = component 1(a) and component 4(b)

Polymer Composition 8 = component 1(a) and component 5(b)

Polymer Composition 9 = component 1(a) and component 6(b)

Components 4(b), 5(b) and 6(b) are hydrophobic acrylic polymers prepared by an *in-situ* emulsion polymerisation in the presence of component 1(a) using the method described for the preparation of component 1(b) in the presence of component 1(a) and the monomers used for components 4(b), 5(b) and 6(b) are as listed below in Table 13.

Table 13

	Monomers w/w		Mn	Mw	Calculated Tg °C
	Styrene	2-hydroxyethyl acrylate			
Component 4(b)	100	0	303996	763892	100
Component 5(b)	67	33	356847	976456	32
Component 6(b)	31	69	316677	1022088	-19

Preparation of inks:Examples 10, 11 and 12

The inks were prepared and fired as described for Example 3 above and the evaluation test results are shown below in Table 14.

Table 14

Example	Polymer	Ink Stability Data			Print Quality	Wet rub fastness
		room temperature	70 °C 1 week	OD		
10	Polymer composition 7 Components 1(a) and 4(b)	5	4	1.09	very good	very good
11	Polymer composition 8 Components 1(a) and 5(b)	5	4	1	good	very good
12	Polymer composition 9 Components 1(a) and 6(b)	5	4	1.03	adequate	very good

- From Table 14 it can be seen that examples 10 and 11 in which components 4(b) and (5b) respectively have a Tg above 20°C have a better print quality than Example 12, although all three examples show a very good wet rub fastness.

Preparation of Polymer Compositions 10 to 16

Polymer compositions 10 to 16 comprise blends of components (a) with component (b) where the ratio of component (a) to component (b) is 25:75 w/w.

Polymer Composition 10 = component 4(a) and component 3(b)

Polymer Composition 11 = component 4(a) and component 7(b)

Polymer Composition 12 = component 5(a) and component 3(b)

TOP SECRET - 21247650

5

Polymer Composition 13 = component 5(a) and component 7(b)

Polymer Composition 14 = component 1(a) and component 8(b)

Polymer Composition 15 = component 4(a) and component 2(b)

- 5 Components 4(a) and 5(a) are hydrophilic polyurethane polymers prepared using the method described above for component 3(b) with materials and analysis results as listed below in Table 15.

Table 15

Materials	Component 4(a) Weight (g)	Component 5(a) Weight (g)
1. DMPA	3.0	0
2. CHDM	8.25	11.25
3. PPG 1000	27.9	28.3
4. IPDI	35.8	35.45
5. DBTDL	0.08	0.08
6. NMP	19	19
7. Jeffamine M 1000	115.26	108.41
8. Ammonia 35% sol	1.4	0
9. De-ionised Water	546	528
Analysis		
Solids content	24.1%	23.9%
Mn	4343	4966
Mw	6676	7529
Viscosity at 10% solids (spindle 0 at 60 rpm)	2.47	2.35

10

Components 7(b) and 8(b) are commercially available dispersions from NeoResins, Avecia BV.

15

Component 7(b) is NeoRez R2001, an aliphatic modified polyurethane dispersion, with a Mn >50,000. Component 8(b) is NeoRez 970, an aliphatic polyether polyurethane dispersion with a Mn > 50,000. Each component 7(b) and 8(b) was combined with deionised water to take the dispersion to 10% solids w/w. (NeoRez and NeoResins are trade marks of Avecia BV).

Preparation of Inks

Examples 13 to 18 and Comparative Examples 9 to 12.

Inks were prepared, evaluated for stability and fired as described for Example 3 and Comparative Example 4 above and the evaluation test results are shown below in Table 16.

5

02914212 • 022364

TABLE 16

Example	Polymer	Ink Stability 8 weeks at 60°C	Print Quality	Wet Fastness	Highlighter Smear	O.D.
13	Polymer Composition 10 [Component 4(a) and 3(b)]	4	Good	Little Smear	No smear	0.98
14	Polymer Composition 11 [Component 4(a) and 7(b)]	4	Good	Very little smear	No smear	0.98
15	Polymer Composition 12 [Component 5(a) and 3(b)]	4	Good	Very little smear	No smear	0.98
16	Polymer Composition 13 [Component 5(b) and 7(b)]	4	Good	Little Smear	No smear	0.98
17	Polymer Composition 14 [Component 1(a) and 8(b)]	4	Adequate	Little smear	No smear	0.94
18	Polymer Composition 15 [Component 4(a) and 2(b)]	4	Good	Very little smear	Little smear	0.98
Comparative Examples						
9	Component 4(a)	4	Good	Little smear	Little smear	0.92
10	Component 7(b)	1	Good	Very little smear	Little smear	1.0
11	Component 5(a)	4	Poor	Little smear	Some smear	0.92
12	Component 8(b)	3	Poor	Smear	No smear	0.84

CLAIMS

1. A composition comprising the components:
- (a) a hydrophilic polymer having a number average molecular weight less than 5 30,000;
 - (b) a hydrophobic polymer having a number average molecular weight more than 40,000;
 - (c) pigment; and
 - (d) liquid medium.
- 10 2. A composition according to claim 1 wherein component (a) has a number average molecular weight less than 20,000.
- 15 3. A composition according to claim 1 wherein component (b) has a number average molecular weight greater than 60,000.
- 20 4. A composition according to claim 1 wherein component (a) and component (b) are each independently selected from the group consisting of acrylic polymers, polyurethanes and polyesters.
5. A composition according to any one of the preceding claims wherein the hydrophobic polymer comprises a hydrophobic acrylic polymer and a hydrophobic polyurethane polymer.
- 25 6. An composition according to any one of the preceding claims wherein the hydrophilic polymer is hydrophilic by virtue of the presence of ionic and/or non-ionic water dispersing groups in the hydrophilic polymer.
- 30 7. A composition according to any one of the preceding claims wherein the composition has a total concentration of divalent and trivalent metal ions below 5000 parts per million by weight relative to the total weight of the composition.
- 35 8. A composition according to any one of the preceding claims having a viscosity less than 100cp at 20 °C.
9. A composition according to any one of the preceding claims wherein
 - (i) the composition has a viscosity less than 100 cp at 20°C;
 - (ii) the composition has been filtered through a filter having a mean pore size below 10µm; and
 - (iii) the composition has a total concentration of divalent and trivalent metal ions

below 5000 parts per million by weight relative to the total weight of the composition.

10. A composition according to any one of the preceding claims which comprises:
5 (i) from 0.1 to 10 parts of component (a);
(ii) from 0.1 to 10 parts, more preferably 1 to 10 parts of component (b);
(iii) from 0.1 to 15 parts of component (c); and
(iv) from 75 to 98 parts of component (d)

wherein all the parts are by weight and the parts by weight of (i) + (ii) + (iii) + (iv) add up to 100.

- 10 11 A composition according to any one of the preceding claims wherein the pigment is selected from yellow, red, orange, green, violet, indigo, blue and/or black organic and/or inorganic pigment.

- 15 12. A composition according to any one of the preceding claims wherein the pigment is a carbon black pigment.

- 20 13. A composition according to claim 12 wherein the carbon black pigment carries ionic groups.

14. A composition according to claim 1 with the proviso that when components (a) and (b) are both acrylic polymers, components (a) and (b) have been prepared separately.

- 25 15. A composition according to claim 1 with the proviso that when components (a) and (b) are both acrylic polymers and component (b) is prepared in the presence of component (a) then the Tg of component (b) is greater than 40°C.

- 30 16. A composition according to claim 15 where the Tg of component (b) is greater than 45°C.

17. An ink comprising a composition according to any one of the preceding claims.

- 35 18. Use of a composition according to claims 1 to 16 as an ink for ink-jet printing.

19. A process for printing an image on a substrate comprising applying thereto composition according to any one of claims 1 to 16 by means of an ink-jet printer.

- 40 20. An ink-jet printer cartridge containing a composition according to any one of claims 1 to 16.

ABSTRACT

A composition comprising the components: (a) a hydrophilic polymer having a number average molecular weight less than 30,000; (b) a hydrophobic polymer having a number average molecular weight more than 40,000; (c) pigment; and (d) liquid medium,
5 and use of the composition in ink-jet printing.

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED:

Pigment Printing Compositions

the specification of which

is attached hereto
was filed on as U.S. application serial No.
 X was filed as PCT international application No. PCT/GB00/00703 on 28/02/2000
and (if applicable) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information which is known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority is claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

<u>Number</u>	<u>Country</u>	<u>Day/MONTH/Year Filed</u>	<u>Date First Laid Open or Published</u>	<u>Date Patented or Granted</u>	<u>Priority claimed</u>
					Yes No
9904599.9	United Kingdom	01/03/1999			X
9925515 0	United Kingdom	29/10/1999			X

I hereby claim the benefit under 35 U.S.C. 120/365 of all United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application insofar as the subject matter disclosed and claimed in this application is in addition to that



disclosed such in the prior applications. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

<u>PRIOR U.S. OR PCT APPLICATION(S)</u>	<u>Status</u>
<u>Application No. (Serial Code/Serial No.)</u>	<u>Day/MONTH/Year Filed</u>
	<u>(patented, pending abandoned)</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Cushman, Darby & Cushman, L.L.P. 1100 New York Avenue, N.W. Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number 861-3000 (to whom all communications should be directed), and the below named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent and I hereby authorise them to act and reply on instructions from and communicate directly with the person/assignee/attorney/firm/organisation who/which first sends/sent this case to them and by who/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Cushman, Darby and Cushman in writing to the contrary.

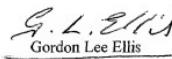
Paul N Kokulis	<u>16773</u>	Kevin E Joyce	<u>20508</u>
Raymond F Lippitt	<u>17519</u>	Edward M Prince	<u>22429</u>
G Lloyd Knight	<u>17698</u>	James D. Berquist	<u>34776</u>
Carl G Love	<u>18781</u>	David W Brinkman	<u>20817</u>
Edgar H Martin	<u>20534</u>	George M Sirilla	<u>18221</u>
William K West Jr	<u>22057</u>	Timothy J. Klima	<u>34852</u>
W Warren Taltavull	<u>25647</u>	Donald J Bird	<u>25323</u>



Peter W Gowdey	<u>25872</u>	Lawrence Harbin	<u>27644</u>
Dale S Lazar	<u>28872</u>	Paul E White Jr	<u>32011</u>
Glenn J Perry	<u>28458</u>	Kendrew H Colton	<u>30368</u>
Chris Comuntzis	<u>31097</u>	Jeffrey A Simenauer	<u>31933</u>
Michelle N Lester	<u>32331</u>	John P. Moran	<u>30906</u>
Robert A Molan	<u>29834</u>	David A Jakopin	<u>32955</u>
G Paul Edgell	<u>24238</u>	Mark G Paulson	<u>30793</u>
Lynn E Eccleston	<u>35861</u>		

(29)

INVENTOR'S SIGNATURE



Gordon Lee Ellis

Date 24.4.2001

PO Box 42, Hexagon House, Blackley, Manchester, United Kingdom
Residence and Post Office Address

British
Citizenship

INVENTOR'S SIGNATURE



Paul Francis Mahon

Date 21 April 2001

PO Box 42, Hexagon House, Blackley, Manchester, United Kingdom
Residence and Post Office Address

British
Citizenship

INVENTOR'S SIGNATURE



Stephen George Yeates
Date 5th April 2001PO Box 42, Hexagon House, Blackley, Manchester, United Kingdom
Residence and Post Office Address

British
Citizenship

INVENTOR'S SIGNATURE

S. Richard Date 29/3/01
400 Stuart Nicholas Richards

PO Box 42, Hexagon House, Blackley, Manchester, United Kingdom
Residence and Post Office Address

British
Citizenship

GBN

INVENTOR'S SIGNATURE

T. A. S.
500 Tom Annable

Date 29.3.01

PO Box 42, Hexagon House, Blackley, Manchester, United Kingdom
Residence and Post Office Address

British
Citizenship

GBN

ESTATE PLANNING